

FHCA 2019 Annual Conference & Trade Show

CE Session #12 – Agency Assisted Living Facility Updates and Top 10 Deficiencies

Monday, August 5 – 10:00 to 11:30 a.m.

Celebration 9-10 – Assisted Living

Upon completion of this presentation, the learner will be able to:

- Review Top 10 assisted living facility deficiencies
- Provide assisted living facility regulatory update
- Review questions related to 58A-5FAC

Seminar Description:

Did your facility receive a citation among the top 10 deficiencies cited list? In this session, Agency staff will discuss the top 10 deficiencies and why facilities were cited, along with helpful strategies so assisted living facilities can improve quality in these areas. Additional Agency and regulatory updates will also be discussed.

Presenter Bio(s):

Serita Evans has been employed with Agency for Health Care Administration (AHCA) since 2013. She has worked statewide, conducting high-level complaints in assisted living facilities, unlicensed activity complaints and long term care surveys. Serita also provides training to surveyors and providers statewide. She is currently the Operations and Management Consultant and the Manager of the Statewide Assisted Living Facility and Unlicensed Activity Team. Prior to working for AHCA, Serita served the State of Florida's vulnerable adult population as an Abuse Investigator with the Department of Children and Families, Adult Protective Services for eight years.

Assisted Living Facility Deficiency Trends & Updates

Presented by:
Serita Evans
Operations Management Consultant Manager
Health Quality Assurance
Agency for Health Care Administration



1

Objectives

- ALF Statistics
- Review the Top ALF Deficiencies in CY 2018
- Review Deficiency Trends for 2019
- Agency Updates & Alerts



2

ALF Statistics



- There are a total of 3075 Assisted Living Facilities in Florida.
- This number is fluid, as facilities are constantly opening and closing.
- View data at Floridahealthfinder.gov.



3

Statistics (as of June 2019)

- 255 Assisted Living Facilities in the state have an Extended Congregate Care specialty license.
- 487 facilities in the state have a Limited Nursing Service specialty license.
- 757 facilities in the state have a Limited Mental Health specialty license.
- View current data at Floridahealthfinder.gov



4

Top 10 ALF Deficiencies



5

Top 10 ALF Deficiencies January 1, 2018-December 31, 2018

Rank	Tag	
1	A0078	Staffing Standards
2	A0181	Emergency Plan Approval
3	A0162	Record – Resident
4	A0081	Training – Staff In-Service
5	Z814	Background Screening Clearinghouse
6	A0030	Resident Care - Resident Rights & Facility Procedures
7	A0200	Emergency Environment Control
8	A0152	Physical Plant – Safe Living Environment
9	A0054	Medication – Record
10	A0052	Medication – Assistance with Self-Administration



6

#10 A0052 - Assistance with Self-Administration of Medication

- Most commonly cited for failure to follow the steps in 429.256 FS for unlicensed staff assisting residents with medication.
- Deficiencies related to medication practices in assisted living facilities have been in the Top 10 deficiencies cited for 5+ years.
- Cited 319 times

MEDICATION	TIME	1	2	3	4	5	6	7



7

#9 A0054 – Medication - Records

- Medication observation Record (MOR) must be updated immediately after each medication is offered or given.
- Most commonly cited for incorrect directions for use, listed on the MOR.
- Cited 321 times

MEDICATION	TIME	1	2	3	4	5	6	7



8

#8 A0152- Physical Plant – Safe Living Environment

- Each facility shall have a safe living environment and be maintained free from hazards.
- Ensure that all existing systems are maintained in good working order
- Cited 331 times



9

#7A0200 - Emergency Environmental Control

- Development of plan to address emergency environmental control in the event of the loss of primary electrical power.
- Submit plan to local emergency management authority for approval
- Maintain copy of approved plan that is readily available on-site
- Implementation of approved plan



10

A0200 - Emergency Environmental Control (cont.)

- Develop Policy and Procedures to ensure the facility can effectively and immediately activate, operate and maintain the alternate power source and any required fuel.
- Maintain an approved Comprehensive Emergency Management Plan
- Notify resident/representative of plan submission and implementation of the plan in writing or electronically.
- Cited 353 times



11

#6 A0030 - Resident Rights

- The facility must have a grievance procedure for receiving and responding to resident complaints. The facility must be able to demonstrate that such procedure is implemented upon receipt of a complaint.
- Must have written statement of house rules and procedures
- Physical restraints (including half and quarter rails) must be reviewed annually by the physician (physician order) and have consent, UNLESS the resident chooses to have the device and can remove or avoid without assistance.
- Residents have the right to be treated with consideration and respect with recognition of personal dignity, individuality and the need for privacy.
- Access to adequate and appropriate health care consistent with established standards within the community.
- Cited 384 times



12

#5
Z814 - Background Screening Clearinghouse Facility Roster

- Automatic fine of \$500 for every offense.
- All employees in the Clearinghouse must appear on the employee roster.
- Roster updated within 10 days of changes
- Cited 403 times



13

#4 A0081 - Staff In-Service Training

- All staff who provide direct contact with residents must receive 1 hour in-service on infection control, including universal precautions and facility sanitation process before providing care. CNAs, Nurses & Home Health Aids are exempt for this training. • Cited 416 times
- All other trainings must be completed within 30 days of hire.
- All facility staff shall demonstrate an understanding and competency in the implementation of the elopement response policies and procedures



14

#3 A 0162 – Resident Records

- Requires that resident records, including contracts, health assessments, medical orders and demographic information be maintained on the premises.
- Requires that assisted living facilities maintain weight records every six months for residents who receive assistance with activities of daily living.
- Cited 440 times



15

#2 A0181 – Emergency Plan Approval

- Plans are submitted and approved by local emergency management agency
- Facility must review plan annually, substantive changes must be submitted for review and approval.
- New Facilities – must submit plans within 30 days after obtaining a license
- Cited 482 times



16

#1 A0078- Staffing Standards

Most commonly cited for failing to have statement of freedom from tuberculosis (TB) updated annually.

- If your employee gets a chest x-ray, a health care provider must sign off annually that the employee is free from TB. Does NOT mean, a new chest x-ray must be done.

Communicable Disease Statement is only required once and must be documented by a health care provider

- Cited 513 times.

Other important information in this tag:

- Staff must be qualified to perform their assigned duties consistent with their level of training, education, preparation and experience.
- An ALF contracting to provide services to residents must ensure that individuals providing those services are qualified to perform their assigned duties
- For facilities with over 17 residents, a job description for each staff position must be created and given to each staff member.



17

Deficiency Trends (as of June 2019)



18

Top 10 ALF Deficiencies - YTD 2019

Rank	Tag	State Tag	Frequency
1	A0200	Emergency Environment Control	338
2	A0081	Training – Staff In-Service	223
3	A0078	Staffing Standards	211
4	A0030	Resident Care - Resident Rights & Facility Procedures	184
5	A0162	Record – Resident	172
6	Z814	Background Screening Clearinghouse	161
7	A0181	Emergency Plan Approval	155
8	A0052	Medication – Assistance with Self-Administration	151
9	Z816	Background Screening – Compliance Attestation *NEW*	149
10	A0025	Resident Care – Supervision *NEW*	142



19

#9 Z816 – Background Screening – Compliance Attestation

- Staff attests that they do not have disqualifying criminal offense on the AHCA Form 3100-008 (Sept 2013).
- Signed form retained by facility
- Updated form need if staff has had a break in service greater than 90 days



20


#10 A0025 – Resident Care - Supervision

- Notification of change of condition made to physician within 30 days
- Arrangement of appropriate health care necessary to treat newly acquired/existing conditions
- Provide care and service appropriate to the needs of each resident
- Provide personal supervision appropriate to the needs of each resident



21

AHCA Updates



22


Agency for Health Care Administration Website <http://ahca.myflorida.com>




 Click on Emergency Power Rule banner on AHCA web page

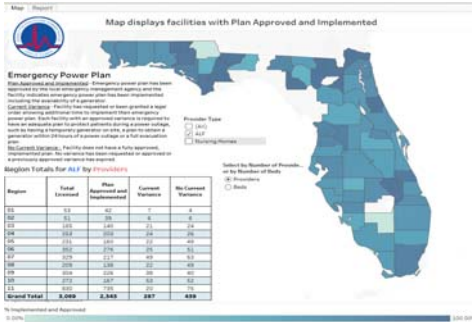
23

Emergency Power Plan Updates



24

Plan Approval County Map



Plan Summary Report

Emergency Power Plan Summary Report
 For the current EPP Exam Date. Click on the link to the left of the report. This report shows the current status of the EPP for all facilities.

Plan Number	Provider Name	Region	County	City	Plan Approval Date	Plan Implementation Status	Current Variance	Reported by
1510001	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510002	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510003	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510004	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510005	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510006	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510007	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510008	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510009	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510010	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510011	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510012	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510013	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510014	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510015	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510016	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510017	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510018	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510019	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510020	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510021	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510022	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510023	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510024	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510025	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510026	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510027	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510028	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510029	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510030	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510031	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510032	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510033	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510034	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510035	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510036	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510037	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510038	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510039	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510040	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510041	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510042	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510043	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510044	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510045	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510046	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510047	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510048	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510049	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16
1510050	EMERGENCY POWER PLAN	SE	FLORIDA	FLORIDA	2016-08-01	Not Approved	0	10/10/16

- Columns can be sorted by preferred field.



Health Care Alerts



Emergency Alerts

- Register to receive information pertaining to licensure, managed care, and other health topics by email at ahca.myflorida.com

Health Care Alerts
<http://ahca.myflorida.com/MCHQ/alerts/alerts.shtml>

HOME ABOUT US MEDICAL LICENSING & REGULATION FIND A FACILITY ABOUT FLORIDA

Health Care Alerts

NOTE: Please set the setting on your internet browser (Microsoft Internet Explorer, Firefox or Chrome) to 100% or less so the form below will display correctly.

Email* _____ First Name _____ Last Name _____ Organization _____

* = Required Field

Health Care Provider Information

- All Providers
- Assisted Care
- Adult Day Care Center
- Adult Family Care Home
- Ambulatory Surgical Center
- Assisted Living Facility

Managed Care Providers

- All Providers
- Exclusive Provider Organization (EPO)
- Health Maintenance Organization (HMO)
- Preferred Provider Organization (PPO)

Health Care Topics

- All Topics
- Certificate of Need (CON)
- Emergency Orders
- Florida Health Information Exchange (FHIE)
- Health Alerts
- Health Care Responsibility Act (HCR)

Office of Plans and Construction / Life Safety Information

- All Providers
- Ambulatory Surgical Center
- Hospital
- Intermediate Care Facility
- Nursing Home / SNF (Nursing Unit)

Submit

Officially submitting? Questions regarding the Health Care Alerts can be sent to the [Health Care Alerts Administrator](#).

- Select areas of interest to receive personalized alerts.

Contact Information

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31
